

NIVI TRADING LIMITED
SHAREHOLDING PATTERN AS ON 30.09.2014

(I) (a) Statement showing Shareholding Pattern

BSE SCRIP CODE : 512245		Name of Script : NIVITRD		Class of Security : Equity		Quarter Ended : 30.09.2014		
Category Code	Partly paid up shares			Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	Total			0	0.00	0.00		
Outstanding Convertible Securities				Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities		
Held by Promoter/Promoter group				0	0.00	0.00		
Held by Public				0	0.00	0.00		
Total				0	0.00	0.00		
Warrants				Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants		
Held by Promoter/Promoter group				0	0.00	0.00		
Held by Public				0	0.00	0.00		
Total				0	0.00	0.00		
Total paid up capital of the Company, assuming full conversion of warrants and convertible securities								
Category Code	Category of Shareholders	Number of Share-holders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) ¹	(A+B+C)	Number of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group²							
(1)	Indian							
(a)	Individuals/H.U.F	9	375,000	-	30.106	30.106	-	-
(b)	Central/State Government(s)	0	-	-	-	-	-	-
(c)	Bodies Corporate	6	433,000	-	34.762	34.762	-	-
(d)	Financial Institutions/Banks	0	-	-	-	-	-	-
(e)	Any Other (specify)	0	-	-	-	-	-	-
	Sub-Total (A)(1)	15	808,000	-	64.868	64.868	-	-
(2)	Foreign							
(a)	Non Resident Individuals/ Foreign Nationals	2	125,000	-	10.035	10.035	-	-
(b)	Bodies Corporate	0	-	-	-	-	-	-
(c)	Institutions	0	-	-	-	-	-	-
(d)	Any Other (specify)	0	-	-	-	-	-	-
	Sub-Total (A)(2)	2	125,000	-	10.035	10.035	-	-
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	17	933,000	-	74.904	74.904	-	-

(contd2)

BSE SCRIP CODE : 512245		Name of Scrip : NIVITRD		Class of Security : Equity		Quarter Ended : 30.09.2014		
Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)1	(A+B+C)	Number of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(B)	Public Shareholding³							
(1)	Institutions							
(a)	Mutual Fund/UTI	-	-	-	-	-		
(b)	Financial Institutions/Banks	-	-	-	-	-		
(c)	Central/State Government(s)	-	-	-	-	-		
(d)	Venture Capital Funds	-	-	-	-	-		
(e)	Insurance Companies	-	-	-	-	-		
(f)	Foreign Institutional Investors	-	-	-	-	-		
(g)	Foreign Venture Cap. Inv	-	-	-	-	-		
	Sub-Total (B)(1)	-	-	-	-	-	N . A.	N . A.
(2)	Non Institutions							
(a)	Bodies Corporate	1	50,000	-	4.014	4.014		
(b)	Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	-	-	-	-	-		
	ii) Holding nominal share capital in excess of Rs. 1 lakh.	12	262,600	-	21.082	21.082		
(c)	Any Other(specify)							
	Overseas Corporate Bodies	-	-	-	-	-		
	Non Resident Individuals	-	-	-	-	-		
	Any Other(Non Domestic Cos.)	-	-	-	-	-		
	Sub-Total (B)(2)	13	312,600	-	25.096	25.096	N . A.	N . A.
	Total Public shareholding (B)=(B)(1)+(B)(2)	13	312,600	-	25.096	25.096		
	TOTAL (A)+(B)	30	1,245,600	-	100.00	100.00	N . A.	N . A.
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	-	-	-	-	-	N . A.	N . A.
2	Public	-	-	-	-	-	N . A.	N . A.
	GRAND TOTAL (A)+(B)+(C)	30	1,245,600	-	100.00	100.00		

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(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Details of shares held		Encumbered shares (*)			Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (1) (a)	No. of warrants held	As a % total No. of warrants of the same class	No. of Convertible securities held	As a % total No. of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	R. D. Shroff	50,000	4.014	-	-	-	-	-	-	-	-
2	Sandra R. Shroff	50,000	4.014	-	-	-	-	-	-	-	-
3	Shilpa Shroff	50,000	4.014	-	-	-	-	-	-	-	-
4	Jai Shroff	75,000	6.021	-	-	-	-	-	-	-	-
5	Vikram Shroff	75,000	6.021	-	-	-	-	-	-	-	-
6	A. C. Menon / N. C. Ashar	50,000	4.014	-	-	-	-	-	-	-	-
7	Sandra Shroff	25,000	2.007	-	-	-	-	-	-	-	-
8	R. D. Shroff / Jai Shroff	25,000	2.007	-	-	-	-	-	-	-	-
9	Sandra R. Shroff / Jai Shroff	15,000	1.204	-	-	-	-	-	-	-	-
10	Sandra R. Shroff / Jai Shroff	35,000	2.810	-	-	-	-	-	-	-	-
11	Jai Shroff/Vikram Shroff	50,000	4.014	-	-	-	-	-	-	-	-
12	Bloom Packaging Pvt. Ltd.	187,000	15.013	-	-	-	-	-	-	-	-
13	Demuric Holdings Pvt. Ltd.	50,000	4.014	-	-	-	-	-	-	-	-
14	United Phosphorus Ltd.	50,000	4.014	-	-	-	-	-	-	-	-
15	Esthetic Finvest Pvt. Ltd.	50,000	4.014	-	-	-	-	-	-	-	-
16	Shatataraka Holdings Pvt. Ltd.	46,000	3.693	-	-	-	-	-	-	-	-
17	Ventura Guaranty Ltd.	50,000	4.014	-	-	-	-	-	-	-	-
	Total	933,000	74.904	-	-	-	-	-	-	-	-

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.

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(I) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+(C) indicated in statement at para (1) (a) above}	Details of warrants			Details of Convertible Securities			Total shares (including underlying shares assuming full conversion of warrants and conv. Securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class			
1	Mukul B. Trivedi	12,500	1.004	-	-	-	-	-	-	
2	Sanjeev Trivedi	13,000	1.044	-	-	-	-	-	-	
3	Salim Govani	25,000	2.007	-	-	-	-	-	-	
4	Kanti Thacker	47,000	3.773	-	-	-	-	-	-	
5	Abid Nagaria	23,500	1.887	-	-	-	-	-	-	
6	Bipin Jani	23,500	1.887	-	-	-	-	-	-	
7	Jawahar Thacker	23,500	1.887	-	-	-	-	-	-	
8	Nikhil Gandhi	23,500	1.887	-	-	-	-	-	-	
9	Kartik Bhatia	23,500	1.887	-	-	-	-	-	-	
10	Brubeck Dias	23,500	1.887	-	-	-	-	-	-	
11	R. Shroff Consultants P. Ltd.	50,000	4.014	-	-	-	-	-	-	
				-	-	-	-	-	-	
	TOTAL	288,500	23.162	-	-	-	-	-	-	

(I) (c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares

Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+(C) indicated in statement at para (1) (a) above}	Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
	NIL							

(I) (d) Statement showing details of locked-in shares

Sr.No.	Name of the shareholder	Category of shareholders Promoters / Public	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	NIL			

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(II) (a) Statement showing details of Depository Receipts (DRs)

BSE SCRIP CODE : 512245 NAME OF SCRIPT : NIVITRD				Quarter ended : 30.09.2014
Sr.No.	Type of outstanding DR (ADRs, GDRs, SDRs. Etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e.Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above
		N I L		

(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

BSE SCRIP CODE : 512245 NAME OF SCRIPT : NIVITRD				Quarter ended : 30.09.2014
Sr.No.	Name of the DR holder.	Type of outstanding DR (ADRs, GDRs, SDRs. etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e.Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above
		N I L		

